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AUG - 6 2013

U.S. BANKRUPTCY COURT  
SO DIST OF NEW YORK

04. February 2013

### Lehman Programs Securities to which Transfer Relates

[illegible]

## Agreement and evidence of transfer of claim Lehman Program Security

MAR 1 2013

TO: THE DEBTOR AND THE BANKRUPTCY COURT

1. For value received, the adequacy and sufficiency of which are hereby acknowledged, TARGOBANK AG & Co. KGaA f/k/a Citibank Privatkunden AG & Co. KGaA ("Transferor") hereby unconditionally and irrevocably transfers and assigns to

Mrs. GISELA GEFFERS

name of customer

(the "Transferee"), as of the date hereof, an undivided interest, to the extent of \$ 5,680.40, which is equal to 0.001318829 % and as specified in Schedule 1 attached hereto (the "Transferred Claim"), in Transferor's right, title and interest in and to, or arising under or in connection with Proof of Claim Number 55404 filed by or on behalf of

Mrs. GISELA GEFFERS

name of customer

(the "Proof of Claim") against Lehman Brothers Holdings, Inc., debtor in proceedings for reorganization (the "Proceedings") in the United States Bankruptcy Court for the Southern District of New York (the "Court"), administered under Case No. 08-13555 (JMP) (the "Debtor"), but only to the extent related to the Transferred Claim. For the avoidance of doubt, the Transferred Claim will not encompass Transferor's rights, title or interests in and to and arising in relation to the Proof of Claim or the security or securities except to the extent of \$ 5,680.40, which is equal to 0.001318829 % and as specified in Schedule 1 attached hereto.

2. Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Court, and hereby waives to the fullest extent permitted by law any notice or right to receive notice of a hearing pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Transferor by Transferee for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claim. Transferor acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Transferor transferring to Transferee the Transferred Claims, recognizing Transferee as the sole owner and holder of the Transferred Claim, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Transferee. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the Transferred Claim, and all payments or distributions of money or property in respect of the Transferred Claim, shall be delivered or made to the Transferee.
3. Transferor's and Transferee's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with German law, excluding any conflict of laws provisions (Kollisionsrecht). Particular allowance shall be made for the fact that this Agreement is to be used to comply with certain requirements relevant under US Federal Law or the laws of the State of New York.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this 04 th day of Februar 2013.

TARGOBANK AG & Co. KGaA f/k/a  
Citibank Privatkunden AG & Co. KGaA on behalf of

Mrs. GISELA GEFFERS

name of customer

By [Signature]

Name

Mr. Oliver Stuempges

Mr. Theo Peters

Title

Area Director

Area Manager

Kasernenstr. 10

address

40213 Duesseldorf, Germany

city, state, zip

Mrs. GISELA GEFFERS

name of customer

By X [Signature]

Name

Mrs. GISELA GEFFERS

Title

LIEDBERGWEG 16

address

51069 KOELN, Germany

city, state, zip

*Handwritten signature or initials*



B 210A (Form 210A) (12/09)

## United States Bankruptcy Court

In re Lehman Brothers Holdings Inc. et al.,

Case No. Chapter 11 08-13555 (JMP) (Jointly Administered)

### Transfer of claim other than for security

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U. S. C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P.,  
of the transfer, other than for security, of the claim referenced in this evidence and notice.

Mrs. GISELA GEFFERS

Name of Transferee

TARGOBANK AG & Co. KGaA

Name of Transferor

Name and Address where notices to Transferee should be sent:

Mrs. GISELA GEFFERS

LIEDBERGWEG 16 , 51069 KOELN, Germany

Court Claim # (if known): 55404

Amount of Claim: \$ 5,680.40

Date Claim Filed: 29 October 2009

Phone: + 49 (0) 221-604240

Phone: +49 (0)203 347 5703

Last Four Digits of Acct #: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where notices to Transferee should be sent:  
(if different from above):  
\_\_\_\_\_  
\_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and  
correct to the best of my knowledge and belief.

By X

Gisela Geffers  
Transferee/Transferee's Agent

Date \_\_\_\_\_

MAR 1 2013

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both 18 U.S.C.



-13555-jmp Doc 35762 Filed 03/07/13 Entered 03/07/13 12:20:05 Main Document  
Pg 5 of 5

Wichtig! Important!  
Hinweise auf der Rückseite  
Voir instructions au verso

Varenmuster  
chantillon commercial

Sonstiges  
Autre

(1)	Gewicht (in kg) (2) Poids (en kg)	Wert (3) Valeur
	13g	

MAR 1 2013

Nur für Handelswaren  
Pour les envois commerciaux seulement  
(Falls bekannt) Zolltarifnr. nach dem HS (4)  
und Ursprungsland der Waren (5)  
N° tarifaire du SH et pays d'origine  
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Gesamtgewicht (in kg) (6) Poids total (en kg)	Gesamtwert (7) Valeur totale
13g	

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26.02.13 Hengelbauer

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Deutsche Post

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☒ EINSCHREIBEN  
(Recommandé)

☐ EIGENHÄNDIG  
(A remettre en main propre)

☐ INT. NACHNAHME  
(Remboursement)

☐ RÜCKSCHEIN  
(Avis de réception)

RG 31 405 414 3DE

R

United States Bankruptcy Court  
Southern District of New York  
Attention: Clerk's Office  
One Bowling Green  
New York, NY 10004-1408  
USA

410

60

Deutschland



UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

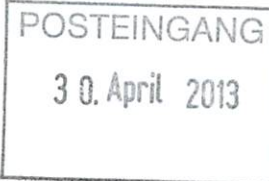
08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: CITIBANK PRIVATKUNDEN AG & CO. KGAA  
ATTN: WILHELM HUELSKEN  
KASERNENSTRASSE 10  
DUSSELDORF 40213 GERMANY

Additional: CITIBANK PRIVATKUNDEN AG & CO. KGAA  
PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP  
ATTN: DOUGLAS R. DAVIS  
1285 AVENUE OF THE AMERICAS  
NEW YORK NY 10019-6064



Transferee: GISELA GEFFERS  
LIEDBERGWEG 16  
KOELN 51069 GERMANY

Your transfer of claim # 55404-71 is defective for the reason(s) checked below:

Other

Schedule 1 Missing - not able to determine transferred ISIN

Docket Number 35762

Date 03/01/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on April 11, 2013.